Data	and Business R	ules – Rhe	umatoid Ar	thritis (RA) Indica	ator Set
Author	DoH – Primary Care	Version No	36.0NI	Version Date	07/08/2018

# **New GMS Contract QOF Implementation**

**Dataset and Business Rules** 

Rheumatoid Arthritis Indicator Set (RA)

Northern Ireland

# Amendment History:

Version	Date	Amendment History
25.0NI	13-May-2013	V25 Department of Health QOF ruleset (28/03/13) used as a base and adapted to reflect the NI 2013/13 agreement - NI indicators IDs updated; 15 mth rules accepted; indicator wording checked
26.0NI	13-Sept-2013	April 2013 Read Code Release following review
27.0NI	12-Nov-2013	November 2013 Read Code Release
28.0NI	14-May-2014	DRAFT Business rules update
28.1NI	11-Jun-2014	Re-instated RA004 and associated code clusters, some reformatting, version number updated to be in line with other rulesets
28.2NI	27-Jun-2014	Updated the IHD diagnostic codes as requested post review.
	23-Jul-2014	RA003 updated description as indicator now required to look at 3 year window. All rules and descriptions updated to reflect this
29.0NI	24-Oct-2014	April 2014 Read Code Updates
30.0NI	24-Oct-2014	October 2014 Read Code Updates
32.0NI	30-June-2015	April 2015 Read Code Updates
32.1NI	28-Sep-2015	Post review changes 2015/16
33.0NI	07-Jan -2016	October Read Code Updates and V32.1 fixes
34.0NI	06-Sep-2016	Read code changes.
35.0NI	19 Oct 2017	Business rules update
36.0NI	07 Aug 2018	Business rules updated for 2018/2019

# New GMS contract Q&O framework implementation

Version Date: 07/08/2018

Dataset and business rules - Rheumatoid Arthritis (RA) indicator set

#### <u>Notes</u>

- The specified dataset and rulesets are to support analysis of extracted data to reflect the status at a specified point in time of patient records held by the practice. In the context of this document that specified time point is designated the "Reference date" and identified by the abbreviation "REF\_DAT". In interpreting the specification REF\_DAT should be taken to mean midnight of the preceding day (i.e. a REF\_DAT of 01.04.2003 equates to midnight on 31.03.2003).
- 2) To support accurate determination of the population of patients to which the indicators should relate (the denominator population) these rulesets have been compiled with a prior assumption that the reference date is specified prior to extraction of data and is available for computation in the data extraction routine. The reference date will also be required to be included in the data extraction to support processing of rules that are dependent upon it. It is possible that an alternative approach could be adopted in which rules to determine the denominator population by registration status would be applied as a component of rule processing. If this second approach were to be adopted it would be essential to specify default time criteria for determining the registration characteristics of the denominator population during the data extraction process. Additionally there would be a requirement to supplement the dataset and rulesets to support identification of the appropriate denominator population.
- 3) Clinical codes quoted are (where known) from the October 2015 release of Read codes version 2 and clinical terms version 3 (CTV3). The codes are shown within the document as a 5 character value to show that the Read Code is for a 5-Byte system.
  - i) Where a "%" wildcard is displayed, the Read Code is filled to 5 characters with full stops. When implementing a search for the Read Code, only the non full-stop values should be used in the search, For example, a displayed Read Code of c1...% should be implemented as a search for c1%, i.e. should find c1 and any of its children.
  - ii) Where a range of read codes are displayed, the Read Code is filled to 5 characters with full-stops. When implementing the search, only the non full-stop values should be used in the search, For example, a displayed Read Code range of G342. G3z.. should find all codes between G342 and G3z (including any children where applicable).

The version number starts at 7.1 in order to coincide with existing datasets and business rules.

- 4) Datasets comprise a specification of two elements:
  - a) Patient selection criteria. These are the criteria used to determine the patient population against whom the indicators are to be applied.
    - i) Registration status. This determines the current patient population at the practice.
    - ii) Diagnostic code status. This determines the current patient population (register size) for a given clinical condition.

There are three scenarios within the diagnostic code status, these are where

- There is a single morbidity patient population (disease register) required (e.g. within CHD). Where this occurs, a single set of rules for identifying the patient population is provided.
- There is a single co-morbidity patient population (disease register) required (e.g. within Smoking). Where this occurs, a set of rules for each morbidity is provided. A patient must only be included in the patient population (register size) once.
- There are multiple patient populations (disease registers) required (e.g. within Heart Failure). Where this occurs, a single set of rules for each patient population is provided.
   N.B. where there are multiple patient populations (disease registers), it is possible that one or more will also be a co-morbidity patient population (e.g. within Depression).

Where this occurs, details of which register population applies to which indicator(s) are provided. Where the register size applies to an indicator, this is the base denominator population forthat indicator.

b) Clinical data extraction criteria. These are the data items to be exported from the clinical system for subsequent processing to calculate points allocations. They are expressed in the form of a MIQUEST "Report-style" extract of data.

The record of each patient that satisfies the appropriate selection criteria for a given indicator will be interrogated against the clinical data criteria (also appropriate to that indicator). A report of the data contained in the selected records will be exported in the form of a fixed-format tabular report. Each selected patient will be represented by a single row in the report, unless the operator "ALL" is used.

The "ALL" statement is used within the Qualifying Criteria for the Clinical data extraction criteria. Typically the selection for a READCODE\_COD cluster field is based on a date of "LATEST" or "EARLIEST". The "ALL" statement is used to select all occurrences of any of the codes within the READCODE\_COD cluster. It selects an array of instances, of which there may be more than one for each patient.

Rows will contain a fixed number of fields each containing a single data item. The number of fields in each row and their data content will be determined by the clinical data criteria. Data items that match the clinical data criteria will be exported in the relevant field of the report. Where there is no data to match a specific clinical criterion a null field will be exported.

- 5) Rulesets are specified as multiple rules to be processed sequentially. Processing of rules should terminate as soon as a "Reject" or "Select" condition is encountered.
- 6) Rules are expressed as logical statements that evaluate as either "true" or "false" The following operators are required to be supported:

a) >(greater than)

e) AND

b) <(less than)

f) OR

c) = (equal to)

g) NOT

d)  $\neq$  (not equal to)

7) Where date criteria are specified with intervals of multiples of months or years these should be interpreted as calendar months or calendar years.

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# **Dataset Specification**

# 1) Patient selection criteria

# a) Registration status

Current registration status	Qualifying criteria
Currently registered for GMS	Most recent registration date < (REF_DAT)
Previously registered for GMS	Any sequential pairing of registration date and deregistration date where both of the following conditions are met: registration date < (REF_DAT); and deregistration date >= (REF_DAT)

# b) Diagnostic code status

Code Criteria	Qualifying diagnost	Qualifying diagnostic codes		
Included	Read codes v2  N040.% N041. N042.% (excluding N0420) N047. N04X. N04y0 N04y2 Nyu11 Nyu12 Nyu16 Nyu10 G5yA., G5y8.	CTV3 N040.% XE1DU X705I G5y8.	Earliest < (REF_DAT)	
	(Rheumatoid arthriti			
Excluded	Age < 16 years at REF_DAT			

# 2) Clinical data extraction criteria

<u>Field</u> Number	Fieldname	Data item		Qualifying criteria
1	PAT_ID		ID number	Unconditional
2	REG_DAT	Date of pat	ient registration	Latest < (REF_DAT)
3	PAT_AGE	Patients age (y	rears) at REF_DAT	Unconditional
		Read codes v2	CTV3	
4	RARTHEXC_COD	9hR, 9hR0., 9hR1.	XaYSO, XaYSP, XaYSR	Latest < REF_DAT
		(Rheumatoid arthritis e	exception reporting codes)	_
5	RARTHEXC_DAT	Date of RART	THEXC_COD	Chosen record
6	RARTH_COD	Read codes v2  N040.% N041. N042.% (excluding N0420) N047. N04X. N04y0 N04y2 Nyu11 Nyu12 Nyu1G Nyu10 G5yA., G5y8.	CTV3  N040.%  XE1DU  X705I  G5y8.	Earliest < REF_DAT
		(Rheumatoic	d arthritis codes)	
7	RARTH_DAT	Date of RARTH_COD		Chosen record

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8	CVDASSRA_COD	38DP.	XaQVY t tool adjusted for RA codes)	
	CVDACCDA DAT	·	·	
9	CVDASSRA_DAT	Date of C	CVDASSRA_COD	Chosen record
		Read codes v2	CTV3	
10	CVDASSRAEXC_COD	8IEV., 9NSB.	XaZdA, XaZd8	Latest < (REF_DAT)
		(CVD risk assessment tool	adjusted for RA exception codes)	
11	CVDASSRAEXC_DAT	Date of CVE	DASSRAEXC_COD	
		Read codes v2	CTV3	
12	FRCASSRA_COD	38DB., 38DC., 38GR.,38DB0, 38DB1, 38DC0, 38DC1	XaOgD, XaYfo, XaYWc, XaOgr, XaYfp, XaYWb, XaZeJ	Latest < REF_DAT
		(Fracture risk assessme	ent tool adjusted for RA codes)	
13	FRCASSRA_DAT	Date of F	FRCASSRA_COD	Chosen record
		Read codes v2	CTV3	
14	FRCASSRAEXC_COD	9OdB., 9OdC.	XalSb, XalSc	
		(Fracture risk assessment tool adjusted for RA exception codes)		]
15	FRCASSRAEXC_DAT	Date of FRCASSRAEXC_COD		Chosen record

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		Read codes v2	CTV3	
16	RARTHRVW_COD	66HB0	XaZdB	Latest < REF_DAT
		(Rheumatoid a	rthritis review codes)	
17	RARTHRVW_DAT	Date of RA	RTHRVW_COD	Chosen record
18	CHD_COD	G3 – G309. G30B G330z (excluding G310.) G33z G3401 G342 G35X. G38 – G3z Gyu3.% (excluding Gyu31)	CTV3  XE2uV% (excluding Xa07j%, G341.%, X200B%, X200c, G363., Gyu31, X200d, X200e)  Ua1eH  Xa1dP%  G343.  XaYYq	Earliest < REF_DAT
	OUD DAT	·	eart disease codes)	
19	CHD_DAT	Date of CHD_COD		Chosen record

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		Read codes v2	CTV3	
20	STRT_COD	G61% (excluding G617.) G63y0 - G63y1 G64% G66% (excluding G669.) G6760 G6W G6X G65 G654. G656 G65zz Gyu62 - Gyu66 Gyu6F Gyu6G ZV12D Fyu55 G619.	X00D1% (Excluding XE1Xs%, F21y2) XE0VK% (Excluding F4236) XaX16 G65z0 G65z1 Gyu6F G641. Xa6YV Gyu62 Gyu65 Gyu66	Earliest < REF_DAT
21	STRT_DAT	Date	of STRT_COD	Chosen record
		Read codes v2	CTV3	
22	FHYP_COD	C3200 C3201 C3204 C3205 C3203 C3220 (Familial Hypercholeste	C3200% XaR4h XaR4i X40X5 X40Vm erolemia diagnostic codes)	Earliest < REF_DAT
23	FHYP_DAT	Date of FHYP_COD		Chosen record

		Read codes v2	CTV3	
24	OSTEO_COD	N330.% (Excluding N3308, N3309) N3312 N3313 N3316 N3318 – N331B N331H – N331M NyuB0 NyuB1 NyuB8 N3314 N3315 N3746 NyuB2	Xa0AZ% (excluding X70Au) XE1GA N330. N3304 N3304 N330B N330z X70CK% N3313 N3316 N331B XaD4K XaD4J XaD4I NyuB0 NyuB1 NyuB0 NyuB1 NyuB8 Xallp XaC12 N3307, N330A N3314, N3315 N3746, X70Av% NyuB2	Earliest < REF_DAT
		(Osteoporosis d	iagnostic codes)	
25	OSTEO_DAT	Date of OSTEO_COD		Chosen record

26	DXA_COD	Read codes v2 58EG. 58EM. 58EV.  (a DXA scan result of osteon	CTV3  XaITW  XaITb  XaPE2  Dorotic without a value)	Earliest < REF_DAT
27	DXA_DAT	Date of DXA_COD		Chosen record
28	DXA2_COD	Read codes v2  58EE. 58EK. 58ES.  (a DXA scan result with	CTV3  XaITZ  XaITU  XaPDy  a T score value)	Latest < = (REF_DAT – 27 months)
29	DXA2_DAT	Date of DXA2_COD		Chosen record
30	DXA2_VAL	Value of DXA2_COD		Chosen record

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		Read codes v2	CTV3	
31	BSA_COD	fo1% fo4% fo6% fo8% fv1% fu3% fu5% fu9% fo7% 8BP1. 8B6c. 8B6b. 8BPW., 8BPX., 8BPZ., 8BPY.	fo1% fo4% fo6% fo8% fv1% fu3% fu5% fu9% fo7% XaKb0 XaR9b XaX0V XaVxl Xaagz, Xaah0, Xaah2, Xaah1	Latest < =(REF_DAT- 27 months) AND >= (REF_DAT - 33 months)
		(Bone sparin	ng agent codes)	
32	BSA_DAT	Date o	fBSA_COD	Chosen record

# **Indicator rulesets**

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<u>Indicator RA001:</u> The contractor establishes and maintains a register of patients aged 16 or over with rheumatoid arthritis.

The terms of this indicator will be satisfied if the practice is able to produce a data extraction according to the above criteria.

No numerator or denominator determination is required.

<u>Indicator RA002:</u> The percentage of patients with rheumatoid arthritis, on the register, who have had a face-to-facereview in the preceding 15 months.

Version Date: 07/08/2018

#### Overview

This indicator has been developed to measure the effectiveness of the provision of a clinical care component for patients on the rheumatoid arthritis register.

The aspect that is being measured is relating to a face to face annual review.

Disease register

The disease register is made up of patients who are eligible to receive the required care component. In this case:

Patients who have a diagnosis of rheumatoid arthritis (i.e. there is evidence in the patient's electronic health record of a rheumatoid arthritis diagnosis code) and

Are aged 16 years and over at the time the indicator is measured

Numerator and Denominator

The success criteria for this indicator (numerator) are achieved for those patients in the denominator who have a record of a face to face annual review within the preceding 15 months.

The patients that make up the denominator for this indicator are those patients where it is appropriate for the care component to be carried out. This is the relevant disease register adjusted for exclusions and exceptions.

### **Exclusions**

For this indicator there are no exclusions.

#### Exceptions

Patients that don't achieve the success criteria of the indicator are also checked for valid exceptions.

For this indicator the exceptions are:

- Any patient who has been registered within the last 3 months (new patient). New
  patients may be regarded as exceptions if they fulfil the criteria of the indicator but
  have not yet had a face to face review maybe because there hasn't been an
  opportunity in the qualifying year to arrange it.
- Any patient that has a valid rheumatoid arthritis exception code recorded within the preceding 15 months.
- Any patient that has been diagnosed with rheumatoid arthritis within the last 3
  months (new diagnosis of rheumatoid arthritis). Newly diagnosed patients may be
  regarded as exceptions if they fulfil the criteria of the indicator but have not yet had
  their face to face review maybe because there hasn't been an opportunity in the
  qualifying year to arrange it.

Note: For the "new" rheumatoid arthritis patient exception, this is only applicable for the first "ever" diagnosis of rheumatoid arthritis for the patient. For a subsequent diagnosis, this exception rule is not considered.

<u>Indicator RA002:</u> The percentage of patients with rheumatoid arthritis, on the register, who have had a face-to-face review in the preceding 15 months.

a) Denominatorruleset

Rule number	<u>Rule</u>	<u>Actioniftrue</u>	Action iffalse
1	If <u>RARTHRVW_DAT</u> >= ( <u>REF_DAT</u> -15 months)	Select	Next Rule
2	If <u>REG_DAT</u> >= ( <u>REF_DAT</u> – 3months)	Reject	Next rule
3	If <u>RARTHEXC DAT</u> >=( <u>REF DAT</u> -15 months)	Reject	Next rule
4	If <u>RARTH_DAT</u> >= ( <u>REF_DAT</u> -3months)	Reject	Select

b) Numerator ruleset: To be applied to the above denominator population.

Rule number	<u>Rule</u>	<u>Action if true</u>	Action iffalse
1	If <u>RARTHRVW_DAT</u> >= ( <u>REF_DAT</u> -15 months)	Select	Reject

#### Additional Notes:

## **Denominator Success**

Rule 1: The aim of this rule is to identify if the patient has had a face to face review within the preceding 15 months.

Any patient with a record of a face to face review within the preceding 15 months will be selected into the denominator. Any patient that does not meet this criterion is passed on to the next rule.

## Exceptions

It is worth remembering at this point that if a patient has a record of a face to face review within the preceding 15 months they will already have been selected into the denominator in Rule 1.

Rule 2: The aim of this rule is to identify any patient that "recently registered" at the practice. If the patient has registered at the practice within the last 3 months, the patient should not be included in the denominator. If the patient was not registered within the last 3 months they are passed on to the nextrule.

Rule 3: The aim of this rule is to identify any patient that has a valid rheumatoid arthritis exception code recorded. If this has been recorded within the preceding 15 months, the patient can be excepted and is not included in the denominator. Otherwise they are passed on to the next rule.

Rule 4: The aim of this rule is to identify any patient that has been "recently diagnosed" with rheumatoid arthritis. If the patient has been diagnosed within the last 3 months, the patient can be excepted and the patient should not be included in the denominator. Otherwise the patient is selected into the denominator.

The success criterion for this indicator is as per Denominator Rule 1.

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<u>Indicator RA003NI:</u> The percentage of patients with rheumatoid arthritis aged 30 or over and who have not attained the age of 85 who have had a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA in the preceding 3 years.

Version Date: 07/08/2018

#### Overview

This indicator has been developed to measure the effectiveness of the provision of a clinical care component for patients on the rheumatoid arthritis register.

The aspect that is being measured is relating to a cardiovascular risk assessment using a CVD risk assessment tool adjusted for rheumatoid arthritis.

### Disease register

The disease register is made up of patients who are eligible to receive the required care component. In this case:

Patients who have a diagnosis of rheumatoid arthritis (i.e. there is evidence in the patient's electronic health record of a rheumatoid arthritis diagnosis code) and

Are aged 16 years and over at the time the indicator is measured

Numerator and Denominator

The success criteria for this indicator (numerator) are achieved for those patients in the denominator who have a record of a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA within the preceding 3 years.

The patients that make up the denominator for this indicator are those patients where it is appropriate for the care component to be carried out. This is the relevant disease register adjusted for exclusions and exceptions.

# **Exclusions**

For this indicator there are three exclusions:

- The indicator is specifically looking at patients aged between 30 and 84 years. Any patients aged under 30 years old are excluded.
- The indicator is specifically looking at patients aged between 30 and 84 years. Any patients aged 85 and over are excluded.
- Consideration has to be made for those patients who: -

Have a diagnosis of CHD (i.e. there is evidence in the patient's electronic health record of a CHD diagnosis code)

## and/or

Have a diagnosis of stroke or TIA (i.e. there is evidence in the patient's electronic health record of a stroke or TIA diagnosis code)

## and/or

Have a diagnosis of familial hypercholesterolemia (i.e. there is evidence in the patient's

Rheumatoid Arthritis ruleset v36.0 NI Version Date: 07/08/2018 electronic health record of a familial hypercholesterolemia diagnosis code)

# Exceptions

Patients that don't achieve the success criteria of the indicator are also checked for valid exceptions.

For this indicator the exceptions are:

- Any patient that has a relevant CVD risk assessment tool adjusted for RA exception code recorded within the preceding 15 months.
- Any patient who has been registered within the last 3 months (new patient). New
  patients may be regarded as exceptions if they fulfil the criteria of the indicator but
  have not yet had a cardiovascular risk assessment using a CVD risk assessment tool
  adjusted for RA maybe because there hasn't been an opportunity in the qualifying year
  to arrange this.
- Any patient that has a relevant rheumatoid arthritis exception code recorded within the preceding 15 months.
- Any patient that has been diagnosed with rheumatoid arthritis within the last 3 months (new RA patient). Newly diagnosed patients may be regarded as exceptions if they fulfil the criteria of the indicator but have not yet had a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA maybe because there hasn't been an opportunity in the qualifying year to arrange this.

Note: For the 'new' rheumatoid arthritis patient exception, this is only applicable for the first 'ever' diagnosis of rheumatoid arthritis for the patient. For a subsequent diagnosis, this exception rule is not considered.

<u>Indicator RA003NI:</u> The percentage of patients with rheumatoid arthritis aged 30 or over and who have not attained the age of 85 who have had a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA in the preceding 3 years.

#### a) Denominator ruleset

Rule number	<u>Rule</u>	Action if true	Action iffalse
1	If <u>PAT_AGE</u> < 30	Reject	Next Rule
2	If <u>PAT_AGE</u> >=85	Reject	Next Rule
3	If ( <u>CHD_DAT</u> ≠Null) OR If ( <u>STRT_DAT</u> ≠Null) OR If ( <u>FHYP_DAT</u> ≠Null)	Reject	Next Rule
4	If <u>CVDASSRA_DAT</u> >=( <u>REF_DAT</u> -3years)	Select	Next Rule
5	If <u>CVDASSRAEXC_DAT</u> >= ( <u>REF_DAT</u> -15 months)	Reject	Next Rule
6	If <u>REG_DAT</u> >= ( <u>REF_DAT</u> -3months)	Reject	Next Rule
7	If <u>RARTHEXC_DAT</u> >=( <u>REF_DAT</u> -15 months)	Reject	Next Rule
8	If <u>RARTH_DAT</u> >= ( <u>REF_DAT</u> – 3months)	Reject	Select

b) Numerator ruleset: To be applied to the above denominator population.

Rule <u>number</u>	<u>Rule</u>	<u>Actioniftrue</u>	Action if <u>false</u>
1	If <u>CVDASSRA_DAT</u> >=( <u>REF_DAT</u> -15months)	Select	Reject

# Additional Notes:

Denominator

**Exclusions** 

Rule 1: The aim of this rule is to identify if the patient is aged under 30 years.

Any patient that is aged less than 30 should not be considered for this indicator and therefore should be rejected. If a patient is aged over 30 years then they are passed on to the next rule.

Rule 2: The aim of this rule is to identify if the patient is aged over 84 years. Any patient that is aged over 84 should not be considered for this indicator and therefore should be rejected. If a patient is aged 84 or less, then they are passed on to the next rule.

Rule 3: The aim of this rule is to identify if the patient has any of the following: -

Have a diagnosis of CHD (i.e. there is evidence in the patient's electronic health record of a CHD diagnosis code)

Have a diagnosis of stroke or TIA (i.e. there is evidence in the patient's electronic health record of a stroke or TIA diagnosis code)

#### and/or

Have a diagnosis of familial hypercholesterolemia (i.e. there is evidence in the patient's electronic health record of a familial hypercholesterolemia diagnosis code)

Any patient with any of the above conditions should not be considered for this indicator and therefore should be rejected. If a patient does not have any of the above conditions then they are passed on to the nextrule.

#### Success

Rule 4: The aim of this rule is to identify if the patient has had a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA within the preceding 3 years.

Any patient with a record of a CVD risk assessment tool adjusted for RA within the preceding 3 years will be selected into the denominator. Any patient that does not meet this criterion is passed on to the next rule.

## Exceptions

It is worth remembering at this point that if a patient has a record of had a cardiovascular risk assessment using a CVD risk assessment tool adjusted for RA within the preceding 15 months they will already have been selected into the denominator in Rule 4.

Rule 5: The aim of this rule is to identify any patient that has a valid cardiovascular risk assessment exception code recorded within the preceding 15 months. If this has been recorded within the preceding 15 months, the patient can be excepted and is not included in the denominator. Otherwise they are passed on to the next rule.

Rule 6: The aim of this rule is to identify any patient that 'recently registered' at the practice.

If the patient has registered at the practice within the last 3 months, the patient should not be included in the denominator. If the patient was not registered within the last 3 months they are passed on to the nextrule.

Rule 7: The aim of this rule is to identify any patient that has a valid rheumatoid arthritis exception code recorded. If this has been recorded within the preceding 15 months, the patient can be excepted and is not included in the denominator. Otherwise they are passed on to the next rule.

Rule 8: The aim of this rule is to identify any patient that has been 'recently diagnosed' with rheumatoid arthritis. If the patient has been diagnosed within the last 3 months, the patient can be excepted and the patient should not be included in the denominator. Otherwise the patient is selected into the denominator.

#### Numerator

The success criterion for this indicator is as per Denominator Rule 4.

<u>Indicator RA004:</u> The percentage of patients aged 50 or over and who have not attained the age of 91 with rheumatoid arthritis who have had an assessment of fracture risk using a risk assessment tool adjusted for RA in the preceding 3 years.

Version Date: 07/08/2018

# Overview

This indicator has been developed to measure the effectiveness of the provision of a clinical care component for patients on the rheumatoid arthritis register.

The aspect that is being measured is relating to a fracture risk assessment using a risk assessment tool adjusted for rheumatoid arthritis.

## Disease register

The disease register is made up of patients who are eligible to receive the required care component. In this case:

Patients who have a diagnosis of rheumatoid arthritis (i.e. there is evidence in the patient's electronic health record of a rheumatoid arthritis diagnosis code) and

Are aged 16 years and over at the time the indicator is measured

Numerator and Denominator

The success criteria for this indicator (numerator) are achieved for those patients in the denominator who have a record of a fracture risk assessment using a risk assessment tool adjusted for RA within the preceding 3 years.

The patients that make up the denominator for this indicator are those patients where it is appropriate for the care component to be carried out. This is the relevant disease register adjusted for exclusions and exceptions.

# **Exclusions**

For this indicator there are three exclusions:

- The indicator is specifically looking at patients aged between 50 and 90 years. Any
  patients aged under 50 years are excluded.
- The indicator is specifically looking at patients aged between 50 and 90 years. Any
  patients aged over 90 years are excluded.
- Any patient with pre-existing osteoporosis or treated with bone sparing agents in the six months outside the preceding 3 year indicator window are excluded.

#### Exceptions

Patients that don't achieve the success criteria of the indicator are also checked for valid exceptions.

For this indicator the exceptions are:

- any patient that has a relevant fracture risk assessment tool adjusted for RA exception code recorded within the preceding 36 months.
- any patient who has been registered within the last 3 months (new patient). New

patients may be regarded as exceptions if they fulfil the criteria of the indicator but have not yet had a fracture risk assessment using a tool adjusted for RA maybe because there hasn't been an opportunity in the qualifying year to arrange this.

- any patient that has a relevant rheumatoid arthritis exception code recorded within the preceding 36 months.
- any patient that has been diagnosed with rheumatoid arthritis within the last 3 months (new RA patient). Newly diagnosed patients may be regarded as exceptions if they fulfil the criteria of the indicator but have not yet had a cardiovascular risk assessment using a fracture risk assessment tool adjusted for RA maybe because there hasn't been an opportunity in the qualifying year to arrange this.

Note: For the 'new' rheumatoid arthritis patient exception, this is only applicable for the first 'ever' diagnosis of rheumatoid arthritis for the patient. For a subsequent diagnosis, this exception rule is not considered.

<u>Indicator RA004:</u> The percentage of patients aged 50 or over and who have not attained the age of 91 with rheumatoid arthritis who have had an assessment of fracture risk using a risk assessment tool adjusted for RA in the preceding 3 years.

## a) Denominator ruleset

Rule number	Rule	Action if true	<u>Action if</u> <u>false</u>
1	If <u>PAT_AGE</u> < 50	Reject	Next Rule
2	If PAT_AGE > 90	Reject	Next Rule
3	If OSTEO DAT <= (REF DAT - 36 months)  OR  If DXA DAT <= (REF DAT - 36 months)  OR  If DXA2 VAL <-2.5  OR  If BSA DAT ≠ Null	Reject	Next Rule
4	If <u>FRCASSRA_DAT</u> >=( <u>REF_DAT</u> -36 months)	Select	Next Rule
5	If <u>FRCASSRAEXC DAT</u> >= ( <u>REF DAT</u> –36 months)	Reject	Next Rule
6	If <u>REG_DAT</u> >= ( <u>REF_DAT</u> – 3 months)	Reject	Next Rule
7	If <u>RARTHEXC DAT</u> >=( <u>REF DAT</u> -36months)	Reject	Next Rule
8	If <u>RARTH_DAT</u> >= ( <u>REF_DAT</u> – 3months)	Reject	Select

# b) Numerator ruleset: To be applied to the above denominator population.

Rule number	<u>Rule</u>	Action if true	<u>Action if</u> <u>false</u>
1	If <u>FRCASSRA_DAT</u> >=( <u>REF_DAT</u> -36 months)	Select	Reject

# Additional Notes:

## Denominator

## **Exclusions**

Rule 1: The aim of this rule is to identify if the patient is aged under 50. Any patient that is aged less than 50 should not be considered for this indicator and therefore should be rejected. If a patient is aged 50 years or over then they are passed on to the next rule.

Rule 2: The aim of this rule is to identify if the patient is aged over 90. Any patient that is aged over 90 years should not be considered for this indicator and therefore should be rejected. If a patient is 90 years or less then they are passed on to the next rule.

Rule 3: The aim of this rule is to identify if the patient has a pre-existing diagnosis of osteoporosis or was being treated with bone sparing agents in the six months outside the preceding 36 months indicator window. Any patient which meets either of these criteria

should not be considered for this indicator and therefore should be rejected. If a patient does not have pre- existing osteoporosis or treated with bone sparing agents in the six months outside the preceding 36 months indicator window they are passed on to the next rule.

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#### Success

Rule 4: The aim of this rule is to identify if the patient has had a fracture risk assessment tool adjusted for RA.

Any patient with a record of a fracture risk assessment tool adjusted for RA within the preceding 36 months will be selected into the denominator. Any patient that does not meet this criterion is passed on to the next rule.

# Exceptions

It is worth remembering at this point that if a patient has a record of a fracture risk assessment tool adjusted for RA within the preceding 36 months they will already have been selected into the denominator in Rule4.

Rule 5: The aim of this rule is to identify any patient that has a valid fracture risk assessment exception code recorded within the preceding 36 months. If this has been recorded within the preceding 36 months, the patient can be excepted and is not included in the denominator. Otherwise they are passed on to the nextrule.

Rule 6: The aim of this rule is to identify any patient that 'recently registered' at the practice. If the patient has registered at the practice within the last 3 months, the patient should not be included in the denominator. If the patient was not registered within the last 3 months they are passed on to the nextrule.

Rule 7: The aim of this rule is to identify any patient that has a valid rheumatoid arthritis exception code recorded. If this has been recorded within the preceding 36 months, the patient can be excepted and is not included in the denominator. Otherwise they are passed on to the next rule.

Rule 8: The aim of this rule is to identify any patient that has been 'recently diagnosed' with rheumatoid arthritis. If the patient has been diagnosed within the last 3 months, the patient can be excepted and the patient should not be included in the denominator. Otherwise the patient is selected into the denominator.

#### Numerator

The success criterion for this indicator is as per Denominator Rule 4.